




Memorandum

To: Tracie Winbigler
Executive Vice President and Chief Financial Officer

From: Jim Morrison 
Assistant Inspector General, Audits

Date: December 21, 2021

Subject: Engagement Memo—Survey of challenges with host railroad preference (Project Code 001-2022)

We are initiating a survey of host railroad practices for providing dispatching preference to Amtrak trains. Our objective will be to develop an understanding of the legal framework establishing preference and its implementation to date.

An initial step in our survey will be to obtain and assess company data on implementation and any resulting impacts to its business. We also plan to interview company officials, as well as freight railroad officials as necessary, and review relevant documents related to this issue. We will work to minimize disruptions to the company by coordinating meetings with staff in advance. Throughout our survey, we will keep you advised of the status of our work and any material changes in our objective, should they occur. Additionally, we may report on the survey results during our review.

Our interactions with the company will be consistent with P/I 2.1.4, which sets forth the relationship between our office and the company. In particular, section 7.0 of the policy discusses coordination between company officials and our Office of Audits.

We request that your office arrange an entrance conference for us with the appropriate company officials at the earliest possible date. We will keep you advised of the status of our work and any material changes in our objective, should they occur.

Jana Brodsky will be the audit manager for this engagement and reports to J.J. Marzullo, Senior Director, Audits. If you have any questions, please contact me at (202) 906-4742 or james.morrison@amtrakoig.gov; Eileen Larence, Deputy Assistant

Inspector General, Audits, at (202) 906-4152 or eileen.larence@amtrakoig.gov; or J.J. Marzullo, Senior Director at (202) 906-4545, John.Marzullo@amtrakoig.gov.

cc:

Stephen Gardner, President

Eleanor D. Acheson, Executive Vice President, General Counsel & Corporate Secretary

Roger Harris, Executive Vice President, Chief Marketing and Revenue Officer

Laura Mason, Executive Vice President, Major Program Delivery

Scot Naparstek, Executive Vice President, Chief Operating Officer

Dennis Newman, Executive Vice President, Planning & Strategy

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