

OIG Recovery Act Monthly Report

| Monthly Update Report Data (sheet 1 of 4) Version 4.0 | |
|--|--|
| Reporting Entity: Amtrak - OIG | |
| Month Ending Date: 08/31/2009 | |

| Recovery Act Funds Used on Recovery Act Activity | | | | | | | |
|---|-----------------|---|-----------------|--------------|--|-------------------|---------------------|
| No. | Agency / Bureau | Recovery Act TAFS | Obligation Type | US Indicator | State Code (ONLY for grants and cooperative agreements) | Total Obligations | Total Gross Outlays |
| 1 | Amtrak - OIG | (69-0724 2009 \ 2013) Amtrak - OIG - Recovery Act | Other | Y - US | | \$89,389 | \$42,589 |
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| Non-Recovery Act Funds Used on Recovery Act Activity | | | | | | |
|---|-----------------|-----------------------|---------------------------|-----------------------------|---------------------------|-----------------------------|
| No. | Agency / Bureau | Non-Recovery Act TAFS | Total FY 2009 Obligations | Total FY 2009 Gross Outlays | Total FY 2010 Obligations | Total FY 2010 Gross Outlays |
| 1 | Amtrak - OIG | Amtrak - OIG | \$214,470 | \$197,968 | | |
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OIG Recovery Act Monthly Report

Monthly Update Report Data (sheet 2 of 4) Version 4.0

| | | |
|--------------------|--------------|--|
| Reporting OIG: | Amtrak - OIG | |
| Month Ending Date: | 8/31/2009 | |

| # of FTE Working on Recovery | | Testimonies: | |
|---|------|------------------------|---|
| Newly Hired FTE (cumulative): | 0.00 | Provided (monthly): | 0 |
| FTE Funded by Recovery Act Funds (cumulative): | 0.00 | Provided (cumulative): | 0 |
| FTE <u>Not</u> Funded by Recovery Act Funds (cumulative): | 0.00 | | |

| Complaints | | Whistleblower Reprisal Allegations | | Investigations | | Audits / Inspections / Evaluations / Reviews | | Training / Outreach | |
|---------------------------------|---|------------------------------------|---|--------------------------------------|----------|--|----------|---------------------------------|---|
| Monthly Data | | Monthly Data | | Monthly Data | | Monthly Data | | Monthly Data | |
| Received: | 0 | Received: | 0 | Opened: | 0 | Initiated (this month): | 2 | Training Sessions Provided: | 0 |
| | | Accepted: | 0 | Active: | 1 | In Process (as of the end of the month): | 2 | Individuals Trained: | 0 |
| | | | | Pending Decision: | 0 | Completed Final Published Work Products: | 0 | Hours of Training Provided: | 0 |
| | | | | Closed without Action: | 0 | Priority Interim Published Work Products: | 0 | Outreach Sessions Conducted: | 1 |
| | | | | Accepted for Prosecution: | 0 | Unpublished Work Products*: | 0 | | |
| | | | | Prosecution Denied: | 0 | | | | |
| | | | | Referred for Alternative Resolution: | 0 | | | | |
| Cumulative Data Since 2/17/2009 | | Cumulative Data Since 2/17/2009 | | Cumulative Data Since 2/17/2009 | | Cumulative Data Since 2/17/2009 | | Cumulative Data Since 2/17/2009 | |
| Received: | 0 | Received: | 0 | Closed without Action: | 0 | Completed Final Published Work Products: | 0 | Training Sessions Provided: | 0 |
| | | Accepted: | 0 | Accepted for Prosecution: | 0 | Priority Interim Published Work Products: | 0 | Individuals Trained: | 0 |
| | | | | Prosecution Denied: | 0 | Unpublished Work Products*: | 3 | Hours of Training Provided: | 0 |
| | | | | Referred for Alternative Resolution: | 0 | Cumulative Total: | 3 | Outreach Sessions Conducted: | 3 |
| | | | | Cumulative Total: | 0 | | | | |

*These work products were not published because they contain proprietary or other sensitive information that cannot be made available to the public. Copy of OIG Monthly Reporting Template with Training Data 082509 FINAL VERSION revised 090309.xls

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|---------------------------|--------------|
| Reporting OIG: | Amtrak - OIG |
| Month Ending Date: | 08/31/2009 |

| No. | OTHER TYPES OF SIGNIFICANT ACTIVITIES (Completed/On-Going During Reporting Month) |
|-----|---|
| 1 | Began work on an OIG-ARRA information brochure to be distributed within Amtrak. |
| 2 | Scheduled program management assessments for a major Amtrak programs being funded through ARRA |
| 3 | Began work with Amtrak's Police and Security department to assess the risk levels of each of their key ARRA programs. |
| 4 | Completed program management assessments for 3 major Amtrak programs being funded through ARRA |
| 5 | Conducted project management reviews on Amtrak's car and locomotive refurbishment programs. |
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| No. | OTHER TYPES OF SIGNIFICANT ACTIVITIES (Planned for the Future) |
|-----|---|
| 1 | Complete the design for the OIG-ARRA brochure to be distributed within Amtrak |
| 2 | Continue the risk assessment of the Police and Security programs |
| 3 | Hire an administrative assistant to be dedicated to ARRA oversight activities |
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TRAINING ACTIVITIES

| No. | Type of Training | Target Audience | Title of Training | Training Location (City, State) | Date of Training | Length of Training (hours) | Number of Participants | Cost of Training | Presentation with Other OIGs | Average Evaluation Rating |
|-----|------------------|-----------------|-------------------|---------------------------------|------------------|----------------------------|------------------------|------------------|------------------------------|---------------------------|
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OUTREACH ACTIVITIES

| No. | Organization to which Outreach Provided | Number of Organizations Represented at Outreach Session | Description of Outreach | Outreach Location (City, State) | Date of Outreach |
|-----|---|---|-----------------------------------|---------------------------------|------------------|
| 1 | Amtrak personnel | 24 | Fraud, Waste and abuse under ARRA | Indianapolis, IN | 6/24/2009 |
| 2 | Amtrak personnel | 15 | Fraud, Waste and abuse under ARRA | New York, NY | 8/28/2009 |
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