



ETHICS POLICY VIOLATION
JUNE 24, 2015
CASE OIG-I-2015-524

We conducted an investigation of an Amtrak executive based on an allegation that he did not disclose his ownership interest in an outside company, while recommending for hire a contractor associated with the company. This initial allegation led to additional inquiry regarding the executive using Amtrak resources to conduct personal business on company time. Our investigation determined that the Amtrak executive did not properly notify procurement officials of his ownership in the outside company during the selection process of the contractor. Additionally, despite initial denial by the Amtrak executive, the OIG confirmed that he repeatedly worked on personal business with Amtrak resources during the hours he was being paid to work for Amtrak. More specifically, we determined through investigation that the Amtrak executive used his Amtrak assigned smart phone and laptop extensively to support his ownership interest in the outside company (while on company time). In sum, we found that the Amtrak executive was not completely forthcoming with OIG agents during the investigation and clearly violated the standards of ethical conduct pertaining to his employment with Amtrak. Consequently, Amtrak management terminated the employee.