



National Railroad Passenger Corporation
Office of Inspector General
10 G Street N.E.
Washington, DC 20002

CONFLICT OF INTEREST
OCTOBER 30, 2013
CASE # DC-13-0210

We reported to Amtrak management the results of our investigation into allegations of a possible conflict of interest by a company Vice-President related to the award and administration of contracts to provide training to Amtrak executives. We determined that the Vice-President's father had a relationship to the company that provided the training. Our investigation disclosed that the Vice President's position over the process gave the appearance or perception of a conflict of interest. We did not find that the Vice-President or his father derived any financial benefit or gain from the training contract. However, we found that Amtrak has no corporate recusal policy in place for instances involving conflict of interest. As a result of our report, Amtrak management amended the Ethical Conduct and Conflict of Interest policy to include a recusal procedure.